

## FORM CRS Relationship Summary

### Last Updated: December 17, 2020

<p>Item 1: Introduction</p>	<p>Blaylock Van, LLC (“BV”) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
<p>Item 2: What investment services and advice can you provide me?</p>	<p>In our normal course of business, we recommend investments for commission to retail clients and institutional investors, including purchasing and selling equity securities, equity options, exchange traded funds (ETFs), open and closed end mutual funds, fixed income products, and equity and fixed income syndicate offerings. At all times, your BV representative will be acting in the capacity of a Registered Representative of a broker-dealer. We do not offer account monitoring to our retail clients. Our brokerage services are non-discretionary: you make the ultimate decision regarding the purchase or sale of investments.</p> <p>We do not offer any proprietary products to retail clients. We impose no minimum account size, investment amount or volume of transactions for brokerage services. We do not take custody or hold client assets or funds. Your investments are maintained with an independent qualified custodian (Pershing LLC).</p> <p><u>Conversation Starters:</u>  <i>Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me?</i>  <i>What is your relevant experience, including your licenses, education and other qualifications?</i>  <i>What do those qualifications mean?</i></p>
<p>Item 3A: What fees will I pay?</p>	<p>We charge a commission for each buy or sell transaction. The amount of commission is a percentage of the transaction and is determined at the time a brokerage account is opened. The commission for transactions in a brokerage account ranges between .5% to 5%. In addition to commissions, retail brokerage clients will also incur custodian fees, account maintenance fees and other fees. Please see our Schedule of Fees, available on the Disclosures page of our website at <a href="https://brv-llc.com/crs/">https://brv-llc.com/crs/</a>.</p> <p>More trades equal more fees; therefore, we have an incentive to encourage more trades.</p> <p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying, see our Regulation BI disclosures at <a href="https://brv-llc.com/crs/">https://brv-llc.com/crs/</a> or call us for assistance 212-715-6600.</p> <p><u>Conversation Starters:</u>  <i>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</i></p>

<p>Item 3B: What are your legal obligations to me when providing recommendations?</p> <p>How else does your firm make money and what conflicts of interest do you have?</p>	<p><b><i>When we provide you with a recommendation</i></b>, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates a conflict with your interests. Please strive to understand and ask us about these conflicts because they can affect the recommendations we provide you.</p> <p>Here are some examples to help you understand what this means:</p> <ul style="list-style-type: none"> <li>• Proprietary Products: Not offered.</li> <li>• Third Party Payments: BV receives compensation from the syndicate manager when participating in a syndicate offering. This provides incentive to sell initial or secondary syndicate offerings to you, as the Firm obtains sales credit compensation from each sale.</li> <li>• Revenue Sharing: Not currently applicable.</li> <li>• Principal Trading: BV does not sell to retail accounts from proprietary positions.</li> <li>• Employee Trading; Your registered representative cannot purchase the same security on the same day as you and obtain a better execution price unless his or her order is executed last.</li> </ul> <p><u>Conversation Starters:</u>  <i>How might your conflicts of interest affect me, and how will you address them?</i></p>
<p>Item 3C: How do Blaylock Van, LLC financial professionals make money?</p>	<p>Our financial professionals receive compensation including a percentage of the total commission payments for every transaction they make. In some cases, the financial professional will also receive higher commissions on some products as opposed to others. The commission amount can vary greatly depending on the specific product being sold. For example and typical mutual fund A share could have a load (or commission) of 5 ½% while an equity trade could range from less than 1% to 5%.</p> <p>These differences in commission amounts present a conflict of interest because they provide an opportunity to recommend an investment that will yield higher compensation. We address this conflict by making full disclosure to you, through our non-discretionary services, and by requiring a supervisor of our firm to oversee your transactions.</p>
<p>Item 4: Do you or your financial professionals have legal or disciplinary history?</p>	<p>Yes. Our firm does have, and your Registered Representative may have disclosure event(s). Visit <a href="https://www.investor.gov/CRS">https://www.investor.gov/CRS</a> for a free and simple search tool to research us and our financial professionals or, visit <a href="https://brokercheck.finra.org">https://brokercheck.finra.org</a>.</p> <p><u>Conversation Starters:</u>  <i>As a financial professional, do you have any disciplinary history? For what type of conduct?</i></p>
<p>Item 5: Additional information</p>	<p>For additional information about our services, please contact your Registered Representative or go to the Broker Compensation and Related Conflicts of Interest on the Form CRS Reg BI page on our website at <a href="https://brv-llc.com/crs/">https://brv-llc.com/crs/</a> If you would like additional, up-to-date information or a copy of this disclosure, please email <a href="mailto:jhough@brv-llc.com">jhough@brv-llc.com</a> or <b>Jervis B. Hough</b> at (678) 566-3653.</p>