

Print Close






Collection & Distribution Schedule Details as of 05/15/2020 at 13:22:46 PM EDT








Collection & Distribution Schedule Details for Billable Events

IBD: HZC BLAYLOCK VAN LLC

Default Accounts



IBD Sundry Account#: HZC890683 Office Default Account#: HZC890683
 IBD Markup Account#: HZC890683 IP Default Account #: HZC890683

-  - Higher Level Override Indicator
-  - Lower Level Override Indicator
-  - Exemption/Exception Indicator
-  - Pershing Rule Indicator
-  - Distribution Rule Indicator

Pershing Fee	Markup	Lowest Charge Level	Fee Allocations (Total Fee)	Revenue Allocations
Non-trade Transaction Services				
▼ Account Transfer				
▼ Account Transfers				
Automatic Outgoing Account Transfer 				
		Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date	
		IBD Billing Frequency	Daily - Daily Confirmation Date	
		Activation Date : 11/21/2008	Deactivation Date :	
Manual Transfer				
		Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
		IBD Billing Frequency	Daily - Daily Processing Date	
		Activation Date : 11/21/2008	Deactivation Date :	
▼ CIT Transfers within BD				
Automatic Internal CITs within BD  				
		Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
		IBD Billing Frequency	Daily - Daily Processing Date	
		Activation Date : 11/21/2008	Deactivation Date :	
Manual Internal CITs within BD  				
		Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
		IBD Billing Frequency	Daily - Daily Processing Date	
		Activation Date : 11/21/2008	Deactivation Date :	
▼ CIT Transfers PBD to PBD				
Automatic Internal Cits Pbd To Pbd  				
		Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$25.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
		IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 05/14/2013


Deactivation Date :

Manual Internal CITs PBD to PBD  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$25.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 05/14/2013	Deactivation Date :

▼ **Asset Below The Line**

▼ **Asset Below The Line**

Non Brokerage Account Activity 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Bi-Monthly
		IBD Billing Frequency	Monthly - Bi-Monthly
		Activation Date : 11/29/2016	Deactivation Date :

▼ **Asset Movements Fee**

▼ **Asset Movements Fee**

Certified Check Delivery 


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Insufficient Fund Error 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Insufficient Funds - Banking And Funds

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/17/2011	Deactivation Date :

Loan Processing 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Payment Stop Error 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Stop Check Fee 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date

		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :
Uncollected Fund Error			
		Customer Charge	ACCT - 100.000000000% IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :
Void Check Error			
		Customer Charge	ACCT - 100.000000000% IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :
▼ Bond Redemption Notification Fee			
▼ Bond Redemption Notification Fee			
Bond Call Notification			
		Customer Charge	IBD - 100.000000000% IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 02/02/2009	Deactivation Date :
▼ Books and Record Fee			
▼ Books and Record Fee			
Books And Record			
		IP Charge	IBD - 100.000000000% IBD - 100.000000000%
\$1.50	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Books And Records - E-delivery			
		IP Charge	IP - 100.000000000% IBD - 100.000000000%
\$1.50	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 10/12/2012	Deactivation Date :
▼ Broker Delivers			
▼ Broker Delivers			
Broker Delivers Corporate MUNI			
		IP Charge	IP - 100.000000000% IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :
Broker Delivers Equities Other			
		IP Charge	IP - 100.000000000% IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :
Broker Delivers Governments			
\$0.00	\$0.00	IP Charge	IP - 100.000000000% IBD - 100.000000000%



Pershing /IBD Billing Frequency Daily - Daily Processing Date
 IBD Billing Frequency Daily - Daily Processing Date
 Activation Date : 11/21/2008 Deactivation Date :

▼ **Broker Receives**


▼ **Broker Receives**

Broker Receives Corporate MUNI  

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/21/2008		Deactivation Date :	

Broker Receives Equities Other  

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/21/2008		Deactivation Date :	

Broker Receives Governments  

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/21/2008		Deactivation Date :	

▼ **Courier Fee**

▼ **Courier Fee**

Hand Delivery 

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/21/2008		Deactivation Date :	


▼ **Overnight Courier Fee**

Overnight Check Delivery 

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$12.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/21/2008		Deactivation Date :	

Overnight Check Foreign Delivery 

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/21/2008		Deactivation Date :	

Overnight Check Saturday Delivery 

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$18.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/21/2008		Deactivation Date :	



▼ **Custodial Fee**

▼ **Inactive Account Exemption**

Inactive Exemption Discount 

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
5.00%	0.00%	Pershing /IBD Billing Frequency Annual - Last Business Day of the Second Month	IBD Billing Frequency Annual - Last Business Day of the Second Month
		Activation Date : 12/28/2016	Deactivation Date :

▼ Inactive Account Fee

Inactive Mf  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$12.50	\$0.00	Pershing /IBD Billing Frequency Annual - Last Business Day of the Second Month	IBD Billing Frequency Annual - Last Business Day of the Second Month
		Activation Date : 12/29/2016	Deactivation Date :

Inactive Mixed  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency Annual - Last Business Day of the Second Month	IBD Billing Frequency Annual - Last Business Day of the Second Month
		Activation Date : 12/28/2016	Deactivation Date :

▼ Dividend Reinvestment



▼ Dividend Reinvestment

Dividend Reinvestment Fee  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency Daily - Daily Processing Date	IBD Billing Frequency Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ DK Processing Fees



▼ DK Processing Fees

Dk Processing  



	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency Daily - Daily Processing Date	IBD Billing Frequency Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Dtc Dk Fee  

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency Daily - Daily Processing Date	IBD Billing Frequency Daily - Daily Processing Date
		Activation Date : 06/17/2015	Deactivation Date :

Dtc Rad Cancel By Contra Dk Fee  

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency Daily - Daily Processing Date	IBD Billing Frequency Daily - Daily Processing Date
		Activation Date : 06/17/2015	Deactivation Date :

Dtc Rad Unapproved Dk Fee  

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency Daily - Daily Processing Date	IBD Billing Frequency Daily - Daily Processing Date
		Activation Date : 06/17/2015	Deactivation Date :

E/c Del: Rtl Deleted Due To Age

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 07/05/2016		Deactivation Date :	

E/c Rec: Rtl Deleted Due To Age

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 07/05/2016		Deactivation Date :	

Frb Dk Fee

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 06/17/2015		Deactivation Date :	

Lcl Mkt Del: Rtl Delete Due To Age

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 07/05/2016		Deactivation Date :	

Lcl Mkt Rec: Rtl Delete Due To Age

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 07/05/2016		Deactivation Date :	

▼ **Edocument Fees**

▼ **Edocument Fees**

Confirm Hard Copy

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency		Monthly - First Business Day of Month	
		IBD Billing Frequency		Monthly - First Business Day of Month	
		Activation Date : 11/21/2008		Deactivation Date :	

Stmnt Hardcopy

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Bi-Monthly	
		IBD Billing Frequency		Monthly - Bi-Monthly	
		Activation Date : 11/29/2016		Deactivation Date :	

1099 Tax Statement

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency		Annual - Fifteenth Calendar Day of July	
		IBD Billing Frequency		Annual - Fifteenth Calendar Day of July	
		Activation Date : 07/03/2017		Deactivation Date :	

1099 Tax Statement E-delivery

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Customer Charge			

Pershing /IBD Billing Frequency Annual - Fifteenth Calendar Day of July
 IBD Billing Frequency Annual - Fifteenth Calendar Day of July
 Activation Date : 07/03/2017 Deactivation Date :

Foreign Receive and Deliver Fees

Foreign Receive & Deliver Fees

Agent Bank Fee - Euroclear Free Delivery

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/29/2012	Deactivation Date :

Agent Bank Fee - Local Market Delivery

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/29/2012	Deactivation Date :

Agent Bank Fee - Local Market Receive


	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/29/2012	Deactivation Date :

Agent Bank Fees- Euroclear Free Receives

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/29/2012	Deactivation Date :

Clearance Fee Acats 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Euro Clear Acats 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Good Til Cancel

Good Til Cancel Notification Fee

GTC Cancel Print 

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

GTC Expired Print 

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

GTC Open Print

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Margin Extension**

▼ **COD Extension**

Extension On Cod Account

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Margin Extension**

Margin Extension

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Margin Extension La

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Mutual Fund Exchanges**

▼ **Mutual Fund Exchange**

Mutual Fund Exch Fee (per Side)

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$5.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 10/16/2019	Deactivation Date :

Mutual Fund Share Class Exchange

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$5.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 06/18/2019	Deactivation Date :

Srs Exchange Fee (per Side)

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$5.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Confirmation Date Daily - Daily Confirmation Date
		Activation Date : 10/17/2019	Deactivation Date :

▼ Precious Metal Storage Fees

▼ Precious Metal Storage Fees

Precious Metal Storage

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
75.00bps	0.00bps	Pershing /IBD Billing Frequency	Quarterly - Last Business Day
		IBD Billing Frequency	Quarterly - Prior to Last Business Day of Quarter
		Activation Date : 03/25/2009	Deactivation Date :

▼ Prime Broker

▼ Prime Broker

Prime Broker Delivers

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Prime Broker Receives

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ Private Client Services

▼ Private Client Services

Employee Stock Option -cost Payment

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Employee Stock Options Fee 

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Employee Stock Purchase 


	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Restricted Stock Filing Premium Services

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ Procash Cash Mgmt



▼ Procash Cash Mgmt

Ach Return Chg 



	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Redemptions**


▼ **Redemptions**

Bond Redemptions  



	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

MUNI Debt defeased  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Physical Redemptions  



	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Security Pre refunded  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Reorganization**

▼ **Reorganizations Mandatory**

Mandatory Reorg Deposit  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Mandatory Reorg Physical  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Mandatory Reverse Splits  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date



Activation Date : 11/21/2008

Deactivation Date :



Mandatory Reverse Splits Physical  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Reorganizations Voluntary**

Voluntary Conversions  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Voluntary Elections  


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Voluntary Exchanges  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Voluntary Exercises  


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Voluntary Reorg  


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Retirement Cash Mgmt**

▼ **Retirement Cash Mgmt**

Ach Return Chg Employer 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Ach Return Chg Participant 

\$20.00	\$0.00	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
		IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 11/21/2008

Deactivation Date :

▼ **Safekeeping**

▼ **Safekeeping - Foreign Securities**

Foreign Safekeeping

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 02/02/2009	Deactivation Date :

▼ **Safekeeping - Name**

Domestic Safekeeping P

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 02/02/2009	Deactivation Date :

▼ **Security Information Center**

▼ **Security Information Center**

Security Information Center Fee P

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$200.00	\$0.00	Pershing /IBD Billing Frequency	Annual - First Business Day of the Year
		IBD Billing Frequency	Annual - First Business Day of the Year
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Security Transfers**

▼ **Certificate Cancellation / Re Issue**

Certificate Cancellation / Re Issue P

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 04/23/2019	Deactivation Date :

▼ **Dwac Processing And Pass Through Fees**

Dtc Dwac Processing Fee P

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/12/2014	Deactivation Date :

Dwac Dtc Deposit Pass Through P

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$3.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 02/14/2017	Deactivation Date :

Dwac Dtc Withdrawal Pass Through P

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$5.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 02/14/2017	Deactivation Date :

Dwac Transfer Agent Pass Through

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 02/14/2017	Deactivation Date :

▼ **DRS Transfer**

Drs Transfer

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Drs Transfer Into Custodian

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/28/2014	Deactivation Date :

▼ **DTC Direct Mail Transfer**

DTC Direct Mail Transfer

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

DTC Direct Mail Transfer

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **GNMA Transfers**

GNMA Bonds

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Legal Transfer**

Legal Transfer

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :



Restricted Legal Transfer

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date


Activation Date : 11/21/2008

Deactivation Date :


Register and Ship Certificate

Accommodation Transfer  


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

CORP MUNI Bond Transfer and Ship 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :



GOVT Bonds UITs 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Transfer and Ship 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Registration Transfer Fee

Rgm Transfers  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Syndicates

Delivery Fees

Bulk Receive Via Dwac

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 04/14/2011	Deactivation Date :

Del to Custodian via DWAC 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

DWAC for IRA Accounts 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date

IBD Billing Frequency: Daily - Daily Processing Date
 Activation Date : 11/21/2008 Deactivation Date :


Rec to Custodian via DWAC 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Wire Fees
Outgoing Wires

Fed Fund Wire Distribution 

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Outgoing Non Usd Wires 

	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

No Transaction Fee Program Pricing

FundVest Revenue Sharing
FundVest Revenue Sharing

Fv Focus Dist Hc Restructure

Range Type :	Average Daily Balance
1	10,000,000 0.00%
10,000,001	999,999,999 0.00%

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
0.00%	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 10/24/2013	Deactivation Date :

Fv Offshore Dist Hc Restructure


Range Type :	Average Daily Balance
1	10,000,000 0.00%
10,000,001	999,999,999 0.00%

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
0.00%	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 10/24/2013	Deactivation Date :

Mutual Fund Services
NTF Trade Charges

Ntf Below Minimum Trade 

	Customer Charge	OFF - 100.000000000%	OFF - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 11/21/2008	Deactivation Date :

Ntf Short Term Redemptions 

	Customer Charge	OFF - 100.000000000%	OFF - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 11/21/2008	Deactivation Date :

Ntf Srs Below Minimum Trade 

Customer Charge OFF - 100.000000000% OFF - 100.000000000%

\$0.00 \$0.00 Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 11/21/2008 Deactivation Date :

Ntf Srs Exchange [P](#)

Customer Charge OFF - 100.000000000% OFF - 100.000000000%

\$0.00 \$0.00 Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 11/21/2008 Deactivation Date :

Ntf Srs Exchange Short-term Redemption [P](#)

Customer Charge OFF - 100.000000000% OFF - 100.000000000%

\$0.00 \$0.00 Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 11/21/2008 Deactivation Date :

Ntf Srs Short-term Redemption [P](#)

Customer Charge OFF - 100.000000000% OFF - 100.000000000%

\$0.00 \$0.00 Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 11/21/2008 Deactivation Date :

Ntf Srs Trade [P](#)

Customer Charge OFF - 100.000000000% OFF - 100.000000000%

\$0.00 \$0.00 Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 11/21/2008 Deactivation Date :

Ntf Trade [P](#)

Customer Charge OFF - 100.000000000% OFF - 100.000000000%

\$0.00 \$0.00 Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 11/21/2008 Deactivation Date :

Mutual Fund Programs

▼ FundVest Participation Charge

▼ Fund Vest Service Fee

Fc Institutional Dist Hc Restructure

Range Type : Average Daily Balance
 1 10,000,000 0.00%
 10,000,001 999,999,999 0.00%

IP Charge IP - 100.000000000% IBD - 100.000000000%

0.00% Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 10/24/2013 Deactivation Date :

Product And Service Support

▼ Asset Management Accounts



▼ AMA Incentive Fee

Pro-cash Incentive Fee [P](#)

\$25.00 \$0.00 Customer Charge ACCT - 100.000000000% IBD - 100.000000000%

Pershing /IBD Billing Frequency Annual - Last Business Day
 IBD Billing Frequency Annual - Last Business Day
 Activation Date : 12/05/2007 Deactivation Date :

▼ **Gold Account**

Gold Account Annual Fee  


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$100.00	\$0.00	Pershing /IBD Billing Frequency Annual - Anniversary Prior To Last Business Day	IBD Billing Frequency Annual - Anniversary Prior To Last Business Day
		Activation Date : 02/10/2012	Deactivation Date :

▼ **Gold Corporate Account**

Gold Account Annual Fee- Corporate  



	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$150.00	\$0.00	Pershing /IBD Billing Frequency Annual - Anniversary Prior To Last Business Day	IBD Billing Frequency Annual - Anniversary Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Platinum Account**

Platinum Account Annual Fee  


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$150.00	\$0.00	Pershing /IBD Billing Frequency Annual - Anniversary Prior To Last Business Day	IBD Billing Frequency Annual - Anniversary Prior To Last Business Day
		Activation Date : 02/10/2012	Deactivation Date :

▼ **Platinum Corporate Account**

Platinum Account Annual Fee- Corporate  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$250.00	\$0.00	Pershing /IBD Billing Frequency Annual - Anniversary Prior To Last Business Day	IBD Billing Frequency Annual - Anniversary Prior To Last Business Day
		Activation Date : 02/10/2012	Deactivation Date :

▼ **Proprietary Account**

Proprietary Low Balance Fee 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency Monthly - Prior To Last Business Day	IBD Billing Frequency Monthly - Prior To Last Business Day
		Activation Date : 12/05/2007	Deactivation Date :

▼ **Silver Account**

Silver Account Annual Fee 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency Annual - Anniversary Prior To Last Business Day	IBD Billing Frequency Annual - Anniversary Prior To Last Business Day
		Activation Date : 06/08/2012	Deactivation Date :

▼ **Silver Plus Account**

Silver Plus Account Annual Fee

\$50.00	\$0.00	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency Annual - Anniversary Prior To Last Business Day	IBD Billing Frequency Annual - Anniversary Prior To Last Business Day	

Activation Date : 06/08/2012

Deactivation Date :

Document Delivery

Address Verification Letters

Address Verification Letters - Domestic

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 01/26/2009		Deactivation Date :	

Address Verification Letters - Foreign

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 01/26/2009		Deactivation Date :	

Bice Support Fees

Bice Support Fees - B&r Accounts

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Last Business Day	
		IBD Billing Frequency		Monthly - Last Business Day	
		Activation Date : 03/20/2017		Deactivation Date :	

Confirm Paper Surcharge

Confirm Paper Surcharge

	Customer Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$0.75	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Confirmation Date	
		IBD Billing Frequency		Daily - Daily Confirmation Date	
		Activation Date : 03/31/2010		Deactivation Date :	

Customized Investor Communication

Broker Customization Existing Language

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 08/10/2018		Deactivation Date :	

Broker Customization Of Additional Docs

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 06/24/2013		Deactivation Date :	

Electronic Document Delivery Fees

Online Forms Development

	IBD Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$10000.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - First Business Day of Month	
		IBD Billing Frequency		Monthly - First Business Day of Month	
		Activation Date : 11/21/2008		Deactivation Date :	

Online Forms Maintenance



Range Type : Entitled Users	\$0.00	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
0 1 \$250.00		Pershing /IBD Billing Frequency		Monthly - First Business Day of Month		
2 5 \$50.00		IBD Billing Frequency		Monthly - First Business Day of Month		

6 10 \$50.00

Activation Date : 01/11/2011

Deactivation Date :

▼ Paper Surcharge Statement Fee

Statement Paper Surcharge  

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.75	Pershing /IBD Billing Frequency	Quarterly - 10th Business Day of Final Month of Quarter	
	IBD Billing Frequency	Quarterly - 10th Business Day of Final Month of Quarter	

Activation Date : 03/31/2010

Deactivation Date :

▼ Prospectus Delivery Fees

Electronic Prospectus Delivery - Other

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$1.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
	IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 06/06/2018


Deactivation Date :

Etf Prospectus Delivery Fee 

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$1.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
	IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 06/05/2018

Deactivation Date :

Mutual Fund Prospectus Delivery Fee 

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$1.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
	IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 06/05/2018

Deactivation Date :

Other Prospectus Delivery

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$1.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
	IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 06/06/2018

Deactivation Date :

Prospectus E-delivery - Etf

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$1.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
	IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 11/16/2010

Deactivation Date :

Prospectus E-delivery - Mfs

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$1.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
	IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 11/16/2010

Deactivation Date :

Prospectus E-delivery - Uit

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$1.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	
	IBD Billing Frequency	Daily - Daily Processing Date	

Activation Date : 11/16/2010


Deactivation Date :

Uit Prospectus Delivery Fee 

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$1.15	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 06/05/2018		Deactivation Date :	
▼ Standard Statement Production					
Statement Production Fees P					
	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Tenth Business Day Of Month	
		IBD Billing Frequency		Monthly - Tenth Business Day Of Month	
		Activation Date : 02/10/2016		Deactivation Date :	
▼ Statement Inserts					
Statement Inserts					
	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$0.22	\$0.00	Pershing /IBD Billing Frequency		Monthly - Tenth Business Day Of Month	
		IBD Billing Frequency		Monthly - Tenth Business Day Of Month	
		Activation Date : 08/18/2016		Deactivation Date :	
▼ File Downloads					
▼ Datamart					
Ftp Maintenance Fee P					
	IBD Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$150.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Last Business Day	
		IBD Billing Frequency		Monthly - Last Business Day	
		Activation Date : 11/21/2008		Deactivation Date :	
Ftp Set-up Fee P					
	IBD Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$500.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Last Business Day	
		IBD Billing Frequency		Monthly - Last Business Day	
		Activation Date : 11/21/2008		Deactivation Date :	
▼ Info Direct					
Info Direct Ftp Single Ip - Set-up P					
	IBD Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$100.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Last Business Day	
		IBD Billing Frequency		Monthly - Last Business Day	
		Activation Date : 11/21/2008		Deactivation Date :	
Infodirect Gf Multi Ip Maintenance Fee					
	IBD Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Last Business Day	
		IBD Billing Frequency		Monthly - Last Business Day	
		Activation Date : 08/19/2010		Deactivation Date :	
Infodirect Single Ip Maintenance Fee P					
	IBD Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Last Business Day	
		IBD Billing Frequency		Monthly - Last Business Day	
		Activation Date : 11/21/2008		Deactivation Date :	
▼ Info Direct - FTP					
Info Direct Multi Ip Maintenance Fee P					

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Last Business Day Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Infodirect Multi Rep Set-up Fee P			
	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$100.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Last Business Day Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
▼ Ndm File Downloads			
Ndm Maintenance Fee P			
	Office Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$150.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Last Business Day Monthly - Last Business Day
		Activation Date : 03/20/2009	Deactivation Date :
Ndm Set-up Fee P			
	Office Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$500.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Last Business Day Monthly - Last Business Day
		Activation Date : 03/20/2009	Deactivation Date :
▼ Limited Partnership Fees			
▼ Limited Partnership Fees			
Pershing Processing Fee P			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 03/11/2009	Deactivation Date :
▼ Portfolio Evaluation Services			
▼ Tax Lot - Base Package			
Gain And Loss On Statements Only P			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Prior To Last Business Day Monthly - Prior To Last Business Day
		Activation Date : 02/19/2009	Deactivation Date :
Pes Shortfall P			
	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Annual - Anniversary Prior To Last Business Day Annual - Anniversary Prior To Last Business Day
		Activation Date : 04/23/2013	Deactivation Date :
Pes Tax Lot Accounting P			
	Customer Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$24.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Annual - Anniversary Prior To Last Business Day Annual - Anniversary Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
▼ Procash Fees			

▼ **Procash Fees**

Business Initial Check. Order 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 06/04/2010	Deactivation Date :

Business Style Re Order Checkbooks 


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$40.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 06/10/2010	Deactivation Date :

Carbon Copy Check Reorder

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 09/10/2010	Deactivation Date :

Cash Advance Transaction Fee (non-atm)

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
0.25%	0.00%	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 01/27/2012	Deactivation Date :

Checkbook / Debit Card Overnight Mailing 


	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 06/10/2010	Deactivation Date :

Checkbook / Debit Overnight Mailing Cust 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$12.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Checkbook Initial Order Fee 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :



Copy Retrieval Resource Chkg and Procash Plus 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$2.50	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Gold Check Reorder

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 01/27/2012	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Initial Reorder Of Pcp Carbon Copy Ck Rq			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$15.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 09/10/2010	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Platinum Check Reorder			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$7.50	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 01/27/2012	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Re Order Checkbooks 			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 11/21/2008	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Reorder Business Style Check Binder			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 09/10/2010	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Resource Checking Return Check 			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 11/21/2008	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Resource Checking Stop Payment 			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 11/21/2008	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Returned Checks 			
	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency Activation Date : 01/31/2012	Daily - Daily Processing Date Daily - Daily Processing Date Deactivation Date :
Silver Check Reorder			
\$12.50	\$0.00	Customer Charge Pershing /IBD Billing Frequency	IBD - 100.000000000% Daily - Daily Processing Date

IBD Billing Frequency Daily - Daily Processing Date
 Activation Date : 01/27/2012 Deactivation Date :

Stop Payment  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 01/31/2012	Deactivation Date :

Retirement & Savings Accounts

Retirement Maintenance B1

Flex Ps/ Mpp Maintenance Fee B1 


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$125.00	\$0.00	Pershing /IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		Activation Date : 06/07/2017	Deactivation Date :

Flex 401 (k) Maintenance Fee B1 


	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$125.00	\$0.00	Pershing /IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		Activation Date : 06/07/2017	Deactivation Date :

Ind(k) & Simplified 401 (k) B1 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		Activation Date : 06/07/2017	Deactivation Date :

Ira Maintenance Fee B1 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$43.50	\$0.00	Pershing /IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		Activation Date : 06/07/2017	Deactivation Date :

Mfo Maintenance Fee B1 

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$12.00	\$0.00	Pershing /IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		IBD Billing Frequency	Annual - Twentieth Calendar Day of Custodian Month
		Activation Date : 06/07/2017	Deactivation Date :

Qualified Retirement Plan B1 

\$58.50	\$0.00	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
---------	--------	-----------------	-----------------------	----------------------

Pershing /IBD Billing Frequency Annual - Twentieth Calendar Day of Custodian Month
 IBD Billing Frequency Annual - Twentieth Calendar Day of Custodian Month
 Activation Date : 06/07/2017 Deactivation Date :

Simplified Ps / Mpp Maint. Fee B1

Customer Charge ACCT - 100.000000000% IBD - 100.000000000%
 Pershing /IBD Billing Frequency Annual - Twentieth Calendar Day of Custodian Month
 IBD Billing Frequency Annual - Twentieth Calendar Day of Custodian Month
 Activation Date : 06/14/2017 Deactivation Date :

Retirement Maintenance Fees

Mutual Fund Only Conversion Fee

Customer Charge ACCT - 100.000000000% IBD - 100.000000000%
 Pershing /IBD Billing Frequency Daily - Daily Processing Date
 IBD Billing Frequency Daily - Daily Processing Date
 Activation Date : 09/26/2011 Deactivation Date :

Retirement Revenue Share

Retirement Broker Participation

Customer Charge OFF - 100.000000000% IBD - 100.000000000%
 Pershing /IBD Billing Frequency Monthly - Last Business Day
 IBD Billing Frequency Monthly - Last Business Day
 Activation Date : 12/27/2017 Deactivation Date :

Termination Fees B1

Retirement Termination Fee B1

Customer Charge ACCT - 100.000000000% IBD - 100.000000000%
 Pershing /IBD Billing Frequency Daily - Daily Processing Date
 IBD Billing Frequency Daily - Daily Processing Date
 Activation Date : 06/28/2018 Deactivation Date :

Special Product Processing

Special Product - Registered

Registered Alt Investment Annual Fee

Customer Charge ACCT - 100.000000000% IBD - 100.000000000%
 Pershing /IBD Billing Frequency Annual - Last Business Day of the Third Month
 IBD Billing Frequency Annual - Last Business Day of the Third Month
 Activation Date : 12/18/2012 Deactivation Date :

Registered Alt Investment Transfer Fee



Customer Charge ACCT - 100.000000000% IBD - 100.000000000%
 Pershing /IBD Billing Frequency Daily - Daily Confirmation Date
 IBD Billing Frequency Daily - Daily Confirmation Date
 Activation Date : 12/18/2012 Deactivation Date :

Special Product - Unregistered

Unregistered Alt Investment Annual Fee

Customer Charge ACCT - 100.000000000% IBD - 100.000000000%

Pershing /IBD Billing Frequency Annual - Last Business Day of the Third Month
 IBD Billing Frequency Annual - Last Business Day of the Third Month
 Activation Date : 12/18/2012 Deactivation Date :

Unregistered Alt Investment Transfer Fee  

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$125.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Confirmation Date Daily - Daily Confirmation Date
		Activation Date : 12/18/2012	Deactivation Date :

▼ **Special Statement Production**

▼ **Special Statement Production**

Forced Customer Statements 

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Bi-Monthly Monthly - Bi-Monthly
		Activation Date : 11/29/2016	Deactivation Date :

Forced Statement 

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Bi-Monthly Monthly - Bi-Monthly
		Activation Date : 11/29/2016	Deactivation Date :

Year End Account Report

	Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 11/21/2008	Deactivation Date :

Miscellaneous Services

▼ **Consolidated Audit Trail Report Services**

▼ **Consolidated Audit Trail Reporting**

Consolidated Audit Trail Reporting

Range Type : Quantity Range	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
1 4,999 \$250.0	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
5,000 24,999 \$500.0		IBD Billing Frequency	Monthly - Prior To Last Business Day
25,000 99,999,999 \$1000.0		Activation Date : 04/08/2020	Deactivation Date :

Investment Professional Support

▼ **Content NetExchange Pro**

▼ **Content Netexchange Pro1**

Block Trading & Model Rebalance Basic





	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$42.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 02/23/2011	Deactivation Date :

Block Trading And Model Rebalance




\$42.00	\$0.00	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month	

		Activation Date : 02/23/2011	Deactivation Date :
Cfra Marketscope Eqty Mf Etf			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$35.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Cfra Marketscope Fi			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$80.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Cfra Marketscope Glbstk Rsrch			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$90.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Cfra Marketscope Optn Strtgy			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$70.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Cfra Marketscope Us Research			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$70.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Cfra Marketscope Wealth Mgr			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$135.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Commodity Quotes			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 02/27/2017	Deactivation Date :
International Quotes			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 02/27/2017	Deactivation Date :
Market Depth Access Fee			
\$25.00	\$0.00	IP Charge IP - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month

		Activation Date : 02/27/2017	Deactivation Date :
Market Depth Quotes			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 02/27/2017	Deactivation Date :
Market Q Cme E Mini			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$32.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 05/10/2011	Deactivation Date :
Market Q Commodity Access Fee			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$12.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 11/05/2010	Deactivation Date :
Market Q Intl Data Access Fee			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$30.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 11/05/2010	Deactivation Date :
Morningstar Annuity Analyzer			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$11.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 03/27/2012	Deactivation Date :
Morningstar Equity Research			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Morningstar Portfolio Builder			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$60.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 09/09/2010	Deactivation Date :
Morningstar X Ray			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$42.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 03/27/2012	Deactivation Date :
Real Time Quotes - Germany			
\$98.00	\$0.00	IP Charge IP - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month

		Activation Date : 11/05/2010	Deactivation Date :
Real Time Quotes - Nordic			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$36.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 11/05/2010	Deactivation Date :
Wealth 2k - Income For Life			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$35.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 08/29/2012	Deactivation Date :
Content NetExchange Pro			
Analysis Package 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$40.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Argus Research Level 1 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Last Business Day Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Argus Research Level 2 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$40.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - Last Business Day Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Basic Pro Package 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Monthly - First Business Day of Month Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Bill Suite			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$7.95	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Monthly - Last Business Day
		Activation Date : 02/24/2009	Deactivation Date :
Billsuite Plus			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$5.95	\$0.00	Pershing /IBD Billing Frequency IBD Billing Frequency	Daily - Daily Processing Date Daily - Daily Processing Date
		Activation Date : 01/26/2009	Deactivation Date :
Broker's Ally Pro Setup Fee			
\$30.00	\$0.00	IP Charge IP - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency	Daily - Daily Processing Date

		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 01/26/2009	Deactivation Date :
Brokers Ally Pro 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$2.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Cfra Marketscope 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Cfra Stock Reports 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Colorado Resident Credit Report Surcharg		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.74	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 03/31/2010	Deactivation Date :
Credit Suisse Research 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Dow Jones News Service Wholesale 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$100.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
E-doc Express - Quarterly Cd Rom 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Equifax Cdc/id Verification Scrub		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Equifax Decision Power - Model A		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$2.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day

		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Equifax Decision Power - Model B			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Equifax Decision Power - Model C			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Equifax Decision Power - Model D			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.15	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Equifax Decision Power Development Fee			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$2500.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Equifax Decision Power Dtec Service			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Last Business Day
		IBD Billing Frequency	Monthly - Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Historical Pricing 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$5.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Interactive Charts 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$30.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Intraday and Historical Charts 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Market Edge Full Service 			
\$20.00	\$0.00	IP Charge IP - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency	Monthly - First Business Day of Month

		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Market Edge Second Opinion Reports 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Morningstar Aw Configuration 1 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$218.40	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 03/27/2012	Deactivation Date :
Morningstar Aw Configuration 2 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$249.60	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 03/27/2012	Deactivation Date :
Morningstar Aw Cust Configuration 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$16.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Morningstar AW Equity Rpts 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$30.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Morningstar AW Separate Acct 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$42.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Morningstar Fund Analytics 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 03/27/2012	Deactivation Date :
Nasdaq Level II Quotes 			
		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 05/23/2013	Deactivation Date :
Pro Mobile 			
\$0.00	\$0.00	IP Charge IP - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency	Monthly - First Business Day of Month

		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Pro Package Plus		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$32.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 01/03/2019	Deactivation Date :
Pro Quotes 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Pro Quotes > 500 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$0.03	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Real Time Quotes 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$48.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Reuters News and Commentary 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$20.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Streaming Quote 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$68.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Thompson Company Reports 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
Time and Sales 		IP Charge IP - 100.000000000%	IBD - 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :

▼ **NetExchange Pro Maintenance**
 ▼ **NetExchange Pro Core Service**

Pro Home Office 

Range Type : Total Active Users		
1	75	\$0.00
76	350	\$0.00
351	9,999,999,999	\$0.00

Usage Fees 

Range Type : Total Active Users		
1	75	\$35.00
76	350	\$30.00
351	9,999,999,999	\$20.00

IP Charge	IP	IBD
\$0.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - First Business Day of Month	
IBD Billing Frequency	Monthly - First Business Day of Month	
Activation Date : 12/05/2007		Deactivation Date :

IP Charge	IP	IBD
\$0.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - First Business Day of Month	
IBD Billing Frequency	Monthly - First Business Day of Month	
Activation Date : 12/05/2007		Deactivation Date :

Customer Website Support

▼ **NetEx Client Select**

▼ **Netexchange Client Content**

Alerts Usage - Select

IBD Charge	IBD	IBD
\$1.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	
IBD Billing Frequency	Monthly - Prior To Last Business Day	
Activation Date : 09/16/2010		Deactivation Date :

Fundscan Morningstar Maintenance

IBD Charge	IBD	IBD
\$300.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	
IBD Billing Frequency	Monthly - Prior To Last Business Day	
Activation Date : 07/18/2011		Deactivation Date :

Fundscan Morningstar Usage - Config 1

IBD Charge	IBD	IBD
\$1.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	
IBD Billing Frequency	Monthly - Prior To Last Business Day	
Activation Date : 07/18/2011		Deactivation Date :

Netex Client Online Registration Service

IBD Charge	IBD	IBD
\$2.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	
IBD Billing Frequency	Monthly - Prior To Last Business Day	
Activation Date : 09/09/2010		Deactivation Date :

Single Sign On - Select Maintenance

IBD Charge	IBD	IBD
\$1000.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	
IBD Billing Frequency	Monthly - Prior To Last Business Day	
Activation Date : 09/09/2010		Deactivation Date :

▼ **NetEx Client Select Content**

Bundled Content Package Maintenance

IBD Charge	IBD	IBD
\$300.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	
IBD Billing Frequency	Monthly - Prior To Last Business Day	
Activation Date : 09/17/2010		Deactivation Date :

Bundled Content Package Usage

IBD Charge	IBD	IBD
\$3.00	- 100.000000000%	- 100.000000000%
Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	

		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Client Rt Quotes Client Usage			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.02	\$0.00	Pershing /IBD Billing Frequency	Monthly - Tenth Business Day Of Month
		IBD Billing Frequency	Monthly - Tenth Business Day Of Month
		Activation Date : 11/21/2008	Deactivation Date :
Djia License			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$3000.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Dow Jones Business News Maintenance			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$300.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/10/2010	Deactivation Date :
Dow Jones Business News Usage			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Edgar Online Select Platform			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Fundscan Morningstar Usage - Config 2			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.30	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 07/18/2011	Deactivation Date :
Fundscan With Morningstar Data			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$300.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Fundscan With Morningstar Data Usage			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Intraday And Historical Charts (select)			
\$1.00	\$0.00	IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day

		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/24/2010	Deactivation Date :
Quotes Delayed			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :
S And P Research And Analysis Full Slect			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
S And P Research And Analysis 1 (select)			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.85	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
S And P Research And Analysis 2 (select)			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
S And P Research And Analysis 3 (select)			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
S And P Snapshots Reports			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Thomson Company Reports (select)			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
NetEx Client Select Maintenance			
Netex Client Select Maintenance			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/24/2010	Deactivation Date :
Non Custom Setup Fee			
\$4000.00	\$0.00	IBD Charge IBD - 100.000000000%	IBD - 100.000000000%

		Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Ofx Monthly Maintenance - Netx Branding			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$200.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 02/27/2017	Deactivation Date :
Select Setup Fee			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$25000.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
▼ NetEx Client Special Services			
▼ Content NetExchange Client			
Alerts Maintenance			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :
Alerts Usage			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$3.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
Wireless Maint			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$3000.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :
▼ NetEx CI SS			
Online Surveys Hosting			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$250.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 12/05/2007	Deactivation Date :
▼ NetEx CI SS Customization			
Customization Request Hour			
		IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
\$200.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 12/05/2007	Deactivation Date :
Quality Assurance Testing Hour			
\$200.00	\$0.00	IBD Charge IBD - 100.000000000%	IBD - 100.000000000%
		Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day

Activation Date : 12/05/2007

Deactivation Date :

▼ **NetEx CI SS Edoc**

Edocument Setup

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$250.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 12/05/2007	Deactivation Date :

▼ **NetEx CI SS Index**

Index Chart

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$100.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 12/05/2007	Deactivation Date :

▼ **OFX Fees**

Intuit Quicken

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$15000.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :

Microsoft Money

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$15000.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :

Ofx Monthly Maintenance

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$100.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 02/27/2017	Deactivation Date :

Quicken And Money

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$20000.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Quicken Fees**

Quicken Implementation

Range Type : Total Accounts	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
1 200,000 \$2000.0	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
200,001 500,000 \$4000.0		IBD Billing Frequency	Monthly - Prior To Last Business Day
500,001 9,999,999,999 \$0.0		Activation Date : 11/21/2008	Deactivation Date :

Quicken Licensing

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 11/21/2008	Deactivation Date :

▼ **Turbo Tax**

Turbo Tax Account Loading

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.10	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :

Turbo Tax Annual

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$500.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :

NetEx Client Standard

NetEx Client Standard Content

Quotes Delayed

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :

Quotes Real Time Retired

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.02	\$0.00	Pershing /IBD Billing Frequency	Monthly - First Business Day of Month
		IBD Billing Frequency	Monthly - First Business Day of Month
		Activation Date : 11/21/2008	Deactivation Date :

Universal Package

Range Type : Entitled Users

1	200	\$3.00
201	500	\$2.90
501	1,000	\$2.70
1,001	2,500	\$2.50
2,501	999,999	\$2.30

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 01/31/2011	Deactivation Date :

NetEx Client Standard Core Service

Netex Client Standard Maintenance [P](#)

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/24/2010	Deactivation Date :

NetExchange Client Custom

Content NetExchange Client

Briefing.com Us Stock Intro Service

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1500.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/20/2010	Deactivation Date :

Dow Jones News Users

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :

Edgar - Fis Ipo Data And Rt Sec Filings

		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :	
Fundscan Maintenance				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$300.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :	
Fundscan Usage				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/24/2010	Deactivation Date :	
Intraday And Historical Charts (custom)				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/24/2010	Deactivation Date :	
S And P Research And Analysis Full Cstm				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :	
S And P Research And Analysis 1 (custom)				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.85	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :	
S And P Research And Analysis 2 (custom)				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :	
S And P Research And Analysis 3 (custom)				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :	
S And P Snap Shots Client Retail				
		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$0.50	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :	

S And P Stock Report 5 Page

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$3.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :

Thomson Company Reports (custom)

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$1.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :

Zacks Package 1 Maint

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$300.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/17/2010	Deactivation Date :

Zacks Package 1 User

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/24/2010	Deactivation Date :

▼ **NetExchange Client Custom Maintenance**

Netex Client Custom Maintenance

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/24/2010	Deactivation Date :

▼ **NetExchange Client Development**

Single Sign On

	IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$75000.00	\$0.00	Pershing /IBD Billing Frequency	Monthly - Prior To Last Business Day
		IBD Billing Frequency	Monthly - Prior To Last Business Day
		Activation Date : 09/22/2010	Deactivation Date :

Distribution Assistance - Sweep Programs

▼ **Bank Sweep Program Distribution Assistance**

▼ **Bank Sweep Program Distribution Assistance**

Fdic Single Rate Remuneration

	IBD Charge	CMKT - 100.000000000%	CMKT - 100.000000000%
Range Type : Aggregate by BD, Fund Manager and Group	0.00bps	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
0 999,999,999,999 30.00bps		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 09/14/2018	Deactivation Date :

Fdic Tiered Rate Remuneration

	IBD Charge	CMKT - 100.000000000%	CMKT - 100.000000000%
0.00bps	0.00bps	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 04/11/2019	Deactivation Date :

▼ **Choice Program Distribution Assistance**

▼ Choice Program Distribution Assistance


Choice Money Fund Remuneration

		IBD Charge	CMKT - 100.000000000%	CMKT - 100.000000000%
Range Type : Aggregate by BD, Fund Manager and Group		0.00bps	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
0	999,999,999,999	0.00bps	IBD Billing Frequency	Daily - Daily Confirmation Date
			Activation Date : 09/14/2018	Deactivation Date :


Trade Related Processing Fees

▼ Alternative Investment Network Proecssing Fees

▼ Alternative Investment Network Proecssing Fees

Alternative Investment Processing Fee 


		Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	Daily - Daily Processing Date
			Activation Date : 11/28/2018	Deactivation Date :

Alternative Investment Transaction Fee 

		Customer Charge	ACCT - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date	Daily - Daily Confirmation Date
			Activation Date : 07/08/2014	Deactivation Date :

▼ Annuity Processing Fees

▼ Annuity Purchases And Exchanges

Annuity Purchase 1035 Exchange 


		IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$17.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	Daily - Daily Processing Date
			Activation Date : 11/21/2008	Deactivation Date :

Annuity Rep Change

Range Type : Quantity Range		IBD Charge	IBD - 100.000000000%	IBD - 100.000000000%
0	499	\$2.75	\$0.00	Pershing /IBD Billing Frequency
500	1,999	\$2.25		IBD Billing Frequency
2,000	999,999,999	\$1.75		IBD Billing Frequency
				Activation Date : 11/13/2013
				Deactivation Date :

Fixed Annuity New Purchase 

		IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$17.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	Daily - Daily Processing Date
			Activation Date : 11/21/2008	Deactivation Date :

Subsequent Annuity Purchase 

		IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$5.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	Daily - Daily Processing Date
			Activation Date : 11/21/2008	Deactivation Date :

Variable Annuity New Purchase 

		IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$17.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date	Daily - Daily Processing Date
			Activation Date : 11/21/2008	Deactivation Date :

Activation Date : 11/21/2008

Deactivation Date :

▼ **Cancel-Replace Post Settlement**

▼ **Cancel-Replace Post Settlement**

Domestic Post Settlement C&C P

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$5.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 02/02/2009		Deactivation Date :	

International Cancel/correct Fees P

	Office Charge	OFF	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 03/06/2012		Deactivation Date :	

▼ **Clearance And Execution Charges**

▼ **Clearance Charges**

Foreign Trans Tax - Execution Away P

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/15/2012		Deactivation Date :	

Foreign Trans Tax - Pershing Execution P

	Customer Charge	ACCT	- 100.000000000%	IBD	- 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 11/15/2012		Deactivation Date :	

International Clearance - F/x Away P

	Office Charge	OFF	- 100.000000000%	IBD	- 100.000000000%
\$25.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 03/27/2012		Deactivation Date :	

International Clearance - F/x With P

	Office Charge	OFF	- 100.000000000%	IBD	- 100.000000000%
\$7.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 03/27/2012		Deactivation Date :	

International Clearance - Fixed Income P

	Office Charge	OFF	- 100.000000000%	IBD	- 100.000000000%
\$14.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 03/27/2012		Deactivation Date :	

International Clearance - Mutual Funds P

	Office Charge	OFF	- 100.000000000%	IBD	- 100.000000000%
\$75.00	\$0.00	Pershing /IBD Billing Frequency		Daily - Daily Processing Date	
		IBD Billing Frequency		Daily - Daily Processing Date	
		Activation Date : 03/27/2012		Deactivation Date :	

International Clearance - 15a6 Charges [P](#)

	Office Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$2.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/27/2012	Deactivation Date :

International Clearances - Equities [P](#)

	Office Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$14.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 03/27/2012	Deactivation Date :

144a/ Reg S Exchange [P](#)

	Office Charge	OFF - 100.000000000%	IBD - 100.000000000%
\$50.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 04/10/2019	Deactivation Date :

▼ **Exclear Surcharge**

Ex-clear Surcharge

	IP Charge	IP - 100.000000000%	IBD - 100.000000000%
\$0.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Processing Date
		IBD Billing Frequency	Daily - Daily Processing Date
		Activation Date : 06/13/2018	Deactivation Date :

▼ **Mutual Funds**

Mutual Fund Surcharge Load Fdsv - 9 [P](#)

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 11/25/2015	Deactivation Date :

Mutual Fund Surcharge Load Non Fdsv - F [P](#)

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 11/25/2015	Deactivation Date :

Mutual Fund Surcharge No Load Fdsv - Z [P](#)

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 11/25/2015	Deactivation Date :

Mutual Fund Surcharge No Load Nonfdsv-0 [P](#)

	Customer Charge	IBD - 100.000000000%	IBD - 100.000000000%
\$10.00	\$0.00	Pershing /IBD Billing Frequency	Daily - Daily Confirmation Date
		IBD Billing Frequency	Daily - Daily Confirmation Date
		Activation Date : 11/25/2015	Deactivation Date :

Bundled Billable Events

▼ **Content NetExchange Pro**

▼ **Content NetExchange Pro**

Netx Custom Bundled Package #3

	IP Charge	IP	- 100.000000000%	IBD	- 100.000000000%
\$35.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Last Business Day	
		IBD Billing Frequency		Monthly - Last Business Day	
		Activation Date : 11/21/2008		Deactivation Date :	

▼ **NetEx Client Special Services**

▼ **Content NetExchange Client**

Client Special Bundle Package - 1

	IBD Charge	IBD	- 100.000000000%	IBD	- 100.000000000%
\$500.00	\$0.00	Pershing /IBD Billing Frequency		Monthly - Prior To Last Business Day	
		IBD Billing Frequency		Monthly - Prior To Last Business Day	
		Activation Date : 09/23/2010		Deactivation Date :	

Exception Rule(s)

Rule#	Rule Description
Retirement & Savings Accounts - Termination Fees B1 - Retirement Termination Fee B1	
1	Split Term fee CHARGE \$-20.00 () - () if ({ Retirement Acct } = { YES })